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27367 7590 04/03/2006

WESTMAN CHAMPLIN & KELLY, P.A.
 SUITE 1400 - INTERNATIONAL CENTRE
 900 SECOND AVENUE SOUTH
 MINNEAPOLIS, MN 55402-3319

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(Depositor's name)

(Signature)

(Date)

06/12/2006 HTECKLU2 00000088 10801940

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1400.00 OF

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/801,940	03/16/2004	Kang-Hyun Back	U11.12-0161	2912

TITLE OF INVENTION: SELF ASSEMBLED THREE-DIMENSIONAL PHOTONIC CRYSTAL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	07/03/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
HEALY, BRIAN	2883	385-131000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Westman, Champlin
& Kelly, P.A.

2
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

REGENTS OF THE UNIVERSITY OF MINNESOTA

Minneapolis, MN

3M INNOVATIVE PROPERTIES COMPANY, A WHOLLY OWNED SUBSIDIARY OF 3M COMPANY St. Paul, MN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 23-1123 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date June 6, 2006

Typed or printed name Judson K. Champlin

Registration No. 34,797

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Kang-Hyun Back

Batch No: 2912

Appln. No.: 10/801,940

Allowed: 04/03/2006

Filed : March 16, 2004

Group Art Unit: 2883

For : SELF ASSEMBLED THREE-
DIMENSIONAL PHOTONIC
CRYSTAL

Examiner:

Docket No.: U11.12-0161

B. Healy

CERTIFICATE OF MAILING

Mail Stop Issue Fee

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Alexandria, VA 22313-1450

Sir:

Submitted herewith is PTO Form 2038 in the amount of \$1700.00 as payment of the Issue Fee and Publication Fee in the above-identified application along with the Issue Fee Transmittal.

In the event the attached form is unacceptable, or omitted, or if there are any additional fees associated with this application, please charge the required fee or credit any overpayment to Deposit Account No. 23-1123.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on JUNE 6, 2006.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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